

2133  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Mikio Tanaka, et al.      **Examiner:** Unassigned  
**Serial No.:** 09/819,860      **Art Unit:** 2133  
**Filed:** March 28, 2001      **Docket:** 14457  
**For:** MEMORY LSI FAILURE      **Dated:** February 17, 2004  
ANALYSIS APPARATUS AND  
ANALYSIS METHOD THEREOF

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FEB 25 2004

Technology Center 2100

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450, Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following reference, which is listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Laid-Open Patent Application No. 11-186354, dated September 7, 1999.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

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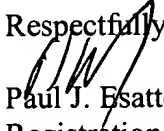
**Dated:** February 17, 2004

Paul J. Esatto Jr.

The reference was cited in a Japanese Office Action dated November 18, 2003 received from the Japanese Patent Office. Applicants are submitting a copy of the above-cited reference, together with an English translation of the Examiner's comments regarding the reference from the Official Action. The relevance of the reference is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the reference is cited. The relevance to the pending U.S. patent application is that the reference is cited in a foreign patent application on the same subject matter. However, no independent analysis of the reference, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. §1.97(b), no statement or fee is required.

Respectfully submitted,  
  
Paul J. Esatto, Jr.  
Registration No. 30,784

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14457

In Re Application Of: Mikio Tanaka, et al.

Serial No.  
09/819,860

Filing Date  
March 28, 2001

Examiner  
Unassigned

Group Art Unit  
2133

Title: MEMORY TEST FAILURE ANALYSIS APPARATUS AND ANALYSIS METHOD THEREOF



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**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14457

In Re Application: Mikio Tanaka, et al.

Serial No.  
09/819,860

Filing Date  
March 28, 2001

Examiner  
Unassigned

Group Art Unit  
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Signature

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Dated: February 17, 2004

cc: